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Application No. (if known): 09/852,222

Attorney Docket No.: 03109/100G960-US1

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on December 11, 2007

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- Transmittal Letter
- Interview Summary Record
- Return Receipt Postcard

Lillian Garcia
Signature
Lillian Garcia

Typed or printed name of person signing Certificate

Registration Number, if applicable

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Transmittal Letter (Patent) Docket No.: 03109/100G960-US1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Roger Alcaly et al.

Application No.: 09/852,222 ✓

Confirmation No.: 4229

Filed: May. 9, 2001

Art Unit: 3624

For: A METHOD AND SYSTEM FOR
GENERATING AN INDEX FOR
INVESTMENT RETURNS

Examiner: D. S. Felten

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced
Patent Application:

1. Interview Summary Record

The Commissioner is authorized to charge any deficiency of up to \$300.00 or credit any
excess in this fee to Deposit Account No. 04-0100.

Dated: December 11, 2007

Respectfully submitted,

By

David Leason

Registration No.: 36,195

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Docket No.: 03109/100G960-US1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Roger Alcaly

Application No.: 09/852,222

Confirmation No.: 4229

Filed: May 9, 2001

Art Unit: 3693

For: A METHOD AND SYSTEM FOR
GENERATING AN INDEX FOR
INVESTMENT RETURNS

Examiner: Daniel S. Felten

INTERVIEW SUMMARY RECORD

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This Interview Summary Record is submitted pursuant to 37 C.F.R. 1.133(b) and MPEP 713.04.

On November 26, 2007 Examiner Felten and the Applicant, through the undersigned attorney, had a telephone interview regarding this application. On November 28, 2007, the Examiner mailed an Interview Summary.

This paper supplements the Interview Summary mailed by the Office. Late in the day on November 26, 2007, the undersigned approved the proposed claim changes to be made by Examiner's Amendment and informed the Examiner of same.

The next day, November 27, 2007, a follow-up discussion concerned claims 5, 6 22, 23, 25, 26 and 31-32. Regarding, claim 5, the language "at least one" was discussed as being changed to --an--. Regarding claim 6, an amendment was discussed to place the claim in proper Markush format. Regarding claims 22, 23, 25, and 26, the language referring to a "system" was discussed as

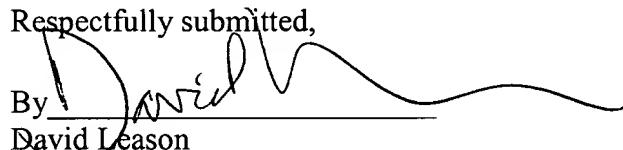
being changed to instead refer to an --apparatus--. With further regard to claims 22, 25 and 26, an amendment was discussed to change "the processor operative with the processing instructions for" to --the processor being configured with the processing instructions to--. And with regard to claims 31 and 32, an amendment was discussed which would amend the preamble of those claims from "A computer-readable medium encoded with processing instructions" to further recite that the processing instructions are "executable by a computer" and that the --the processing instructions, when executed in a computer, -- comprise the recited steps.

Also discussed with the Examiner was reciting in the independent claims that the calculations are output from the computer to address statutory subject matter concerns.

These discussions were in the context of final amendments being made by an Examiner's Amendment in view of the Office's conclusion that the cited references had been overcome by Applicant's submission dated September 19, 2007.

Dated: December 11, 2007

Respectfully submitted,

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